

Targeting Top RIAs: A Guide to Leading Firms in the Major US Metros

FEATURING EXCLUSIVE DATA FROM

dakota marketplace



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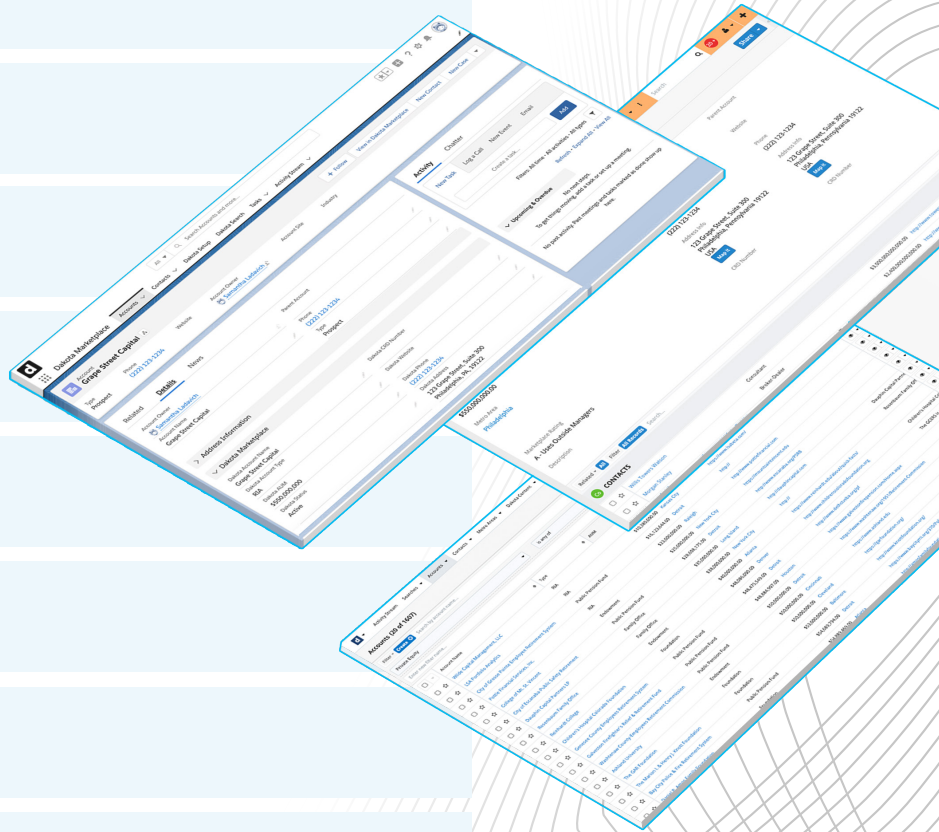
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Introduction

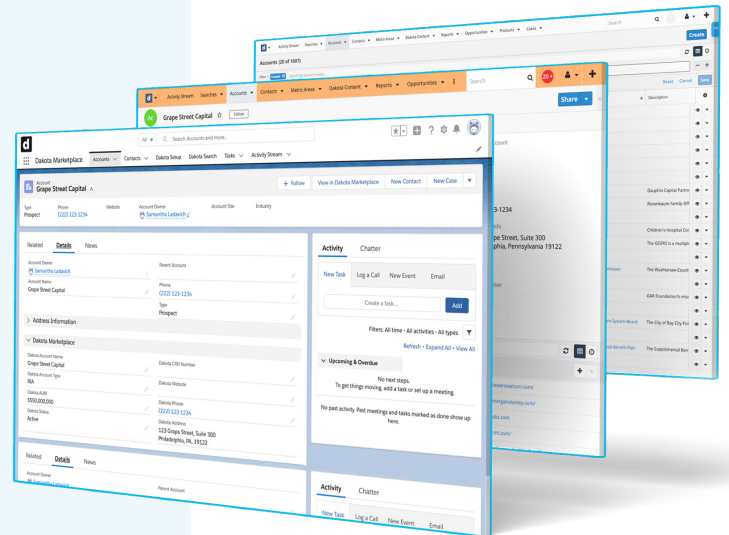
The RIA space is a dynamic, growing channel within the financial services industry. With over 36,000 firms across the United States, the challenge for investment professionals is not in finding prospects but in targeting the right ones - those that allocate to outside managers. Successfully navigating this space requires a strategic, curated approach.

At Dakota, we've been working with RIAs since 2006, raising over \$35 billion. Our experience has shown that while this channel can be challenging, it's also full of opportunity. Unlike banks, broker-dealers, or wirehouses, where a single win can feel like "killing an elephant," working with RIAs is more like "hunting squirrels" - requiring a focus on many smaller, independent firms. But these firms, led by founders and CIOs wearing many hats, are actively seeking unique investment ideas, making them invaluable partners for outside managers.

In this eBook, we'll introduce you to 10 standout RIAs from major metro areas across the country, all handpicked from our institutional investor database, Dakota Marketplace. While this is by no means a definitive "best of" list, it offers a curated selection of RIAs to help you better understand the landscape and target the right firms as you schedule meetings and travel across these cities.



Whether you're a seasoned investment professional or new to the RIA space, this guide will provide insights and actionable information to help you connect with these high-potential firms. RIAs represent a goldmine for money managers, and this eBook is your roadmap to navigating the top firms in key metro markets.



THE TOP 10 RIAS IN New York City, New York

*Information as of 9/10/2024

1. Brown Advisory **AUM: \$145,000,000,000**

▶▶ **ABOUT:** *Brown Advisory is a leading independent investment and strategic advisory firm, with offices in various major cities, including New York, Baltimore, and Washington D.C. The firm provides services for individuals, families, and institutions, offering wealth management, private client advisory, and institutional asset management. Brown Advisory's core mission is to help clients navigate complex markets with a focus on sustainable investing. They pride themselves on fostering long-term relationships and providing a customized approach to each client's needs. The firm manages over \$145 billion in assets under management (AUM) as of 2023, underscoring its position as a key player in the RIA space. Their commitment to client service and innovation makes them a top choice for those seeking professional, sophisticated financial management.*

2. Rockefeller Capital Management **AUM: \$120,000,000,000**

▶▶ **ABOUT:** *Rockefeller Capital Management, founded in 2018 but rooted in the long legacy of the Rockefeller family, has become a premier financial advisory firm serving ultra-high-net-worth individuals, families, and institutions. Based in New York, Rockefeller offers wealth management, strategic advisory, and family office services. Their team of expert advisors is dedicated to providing a bespoke experience, tailoring investment strategies to the specific goals of each client. With more than \$120 billion in AUM, the firm has quickly grown into one of the most prominent RIAs in the U.S. The firm's blend of modern technology and the historical excellence associated with the Rockefeller name enables them to meet the complex financial needs of their clients.*



3. Hightower Advisors LLC

AUM: \$117,700,000,000

▶▶ **ABOUT:** *Hightower Advisors is a national wealth management firm with a network of advisory businesses across the United States. Based in Chicago, Hightower emphasizes a client-first approach, offering fiduciary wealth management services for high-net-worth individuals, family offices, and institutional investors. The firm prides itself on providing independent financial advice, coupled with robust technology and support services for its network of advisors. By focusing on empowering advisors with the tools and resources they need, Hightower has become one of the fastest-growing RIAs, consistently attracting top talent and clients.*

4. Cerity Partners

AUM: \$100,000,000,000

▶▶ **ABOUT:** *Cerity Partners is a comprehensive financial services firm offering wealth management, retirement planning, and tax and estate planning for individuals, families, and businesses. Headquartered in New York, Cerity Partners is known for its client-focused approach, providing tailored financial strategies to meet the unique needs of each client. The firm also offers extensive consulting services for corporate executives and business owners. As of 2023, Cerity Partners manages over \$100 billion in assets under management (AUM), emphasizing their position as a significant player in the wealth management space. Their personalized approach and holistic financial solutions have helped them grow rapidly across key U.S. markets.*

5. Fiduciary Trust International

AUM: \$82,000,000,000

▶▶ **ABOUT:** *Fiduciary Trust International, with roots dating back to 1931, is a wealth management firm that focuses on providing personalized financial solutions to high-net-worth individuals, families, and institutions. The firm is headquartered in New York and offers a range of services, including investment management, estate planning, and philanthropic advisory. Fiduciary Trust International prides itself on its commitment to fiduciary excellence, ensuring clients' interests are always prioritized. The firm has a legacy of building long-lasting relationships based on trust and delivering tailored financial advice for wealth preservation and growth.*



6. AITi Tiedemann Global

AUM: \$70,000,000,000

▶▶ **ABOUT:** *AITi Tiedemann Global is a global wealth and asset management firm with a focus on serving ultra-high-net-worth individuals, families, and foundations. Formed by the merger of Tiedemann Advisors and Alvarium Investments, AITi offers investment advisory, wealth planning, and multi-family office services. With offices in key financial hubs like New York and London, AITi Tiedemann Global provides a broad array of services to help clients manage their wealth globally. The firm is committed to sustainability and impact investing, reflecting the growing importance of these trends in wealth management. AITi manages over \$70 billion in assets under management (AUM), positioning it as a leading RIA with global reach and specialized expertise.*

7. Bessemer Trust

AUM: \$37,000,000,000

▶▶ **ABOUT:** *Bessemer Trust, founded in 1907, is a privately owned and operated wealth management and family office firm. With headquarters in New York, Bessemer Trust specializes in wealth preservation, estate planning, tax strategy, and philanthropic guidance for ultra-high-net-worth families and individuals. Known for its discretion and tailored financial services, Bessemer Trust offers a high-touch, boutique approach to wealth management, combining deep expertise with a focus on long-term relationships. Their clients benefit from a holistic approach to wealth management that spans multiple generations.*

8. Silvercrest Asset Management

AUM: \$33,000,000,000

▶▶ **ABOUT:** *Silvercrest Asset Management is a New York-based wealth management firm that caters to high-net-worth individuals, families, and select institutional clients. Founded in 2002, Silvercrest offers comprehensive investment management services, including financial planning, estate planning, and tax services. With over \$33 billion in assets under management (AUM), Silvercrest combines the personalized service of a boutique firm with the sophistication of a larger institution. Their investment strategies are tailored to individual client needs, focusing on long-term wealth preservation and growth. Silvercrest's commitment to transparency and client service has earned them a reputation as one of the top independent RIAs in the country.*



9. Steward Partners Global Advisory

AUM: \$32,000,000,000

▶▶ **ABOUT:** *Steward Partners Global Advisory is an employee-owned, independent partnership with offices across the United States. They provide comprehensive wealth management services to individuals, families, and institutions, offering everything from investment management to retirement planning and estate solutions. Steward Partners operates with a client-first philosophy, ensuring that fiduciary standards are met and client goals are always prioritized. The firm has rapidly expanded since its founding in 2013, managing over \$32 billion in assets under management (AUM). Their innovative partnership model and dedication to advisor support have made Steward Partners a standout in the RIA landscape.*

10. Focus Financial Partners

AUM: \$30,000,000,000

▶▶ **ABOUT:** *Focus Financial Partners is a leading partnership of independent, fiduciary wealth management firms. Headquartered in New York, Focus provides its partner firms with access to resources, technology, and best practices while allowing them to retain their independence. Focus's partner firms serve high-net-worth individuals and institutions across the U.S. and internationally, offering personalized wealth management solutions. Their unique business model empowers advisors to focus on clients while benefiting from the scale and support of a larger organization.*

To access full account details and explore more RIAs, book a demo of Dakota Marketplace.

Book a Demo!



THE TOP 10 RIAS IN San Francisco, California

*Information as of 9/10/2024

1. Edelman Financial Engines

AUM: \$260,000,000,000

▶▶ **ABOUT:** *Edelman Financial Engines, founded in 2018 through the merger of Edelman Financial Services and Financial Engines, is one of the largest independent financial planning and investment management firms in the U.S. The firm serves both individual and institutional clients, with a focus on providing personalized financial planning, investment advice, and retirement solutions. With over \$260 billion in assets under management, Edelman Financial Engines leverages technology to deliver tailored investment strategies to a wide array of clients. Headquartered in Sunnyvale, California, the firm operates with a fiduciary commitment, ensuring that every financial plan is in the best interest of its clients. Their client-focused approach, combined with an innovative use of technology, has solidified their standing as a leader in the wealth management industry.*

2. Global Retirement Partners LLC

AUM: \$140,000,000,000

▶▶ **ABOUT:** *GRP Financial is a premier provider of retirement plan services, working primarily with institutional clients. The firm specializes in offering retirement plan consulting, investment advisory services, and fiduciary oversight to help organizations navigate the complexities of retirement planning. Their goal is to improve retirement outcomes for plan participants while ensuring that sponsors meet all regulatory obligations. GRP Financial takes a highly customized approach to each client, ensuring that solutions are designed to meet the unique needs of every organization they serve. While specific assets under management are not publicly available, the firm's reputation for excellence in fiduciary responsibility and plan design sets them apart in the retirement plan advisory space.*



3. ICONIQ Capital **AUM: \$83,000,000,000**

▶▶ **ABOUT:** *ICONIQ Capital is a global multi-family office and financial advisory firm headquartered in San Francisco. Known for serving tech entrepreneurs and high-net-worth families, ICONIQ offers a comprehensive suite of services, including wealth management, financial planning, and strategic advisory. The firm's unique approach combines investment expertise with a deep understanding of its clients' personal and business needs, often working with founders of leading technology companies. With over \$83 billion in assets under management, ICONIQ Capital takes a highly customized, client-centric approach, making it one of the premier advisors to Silicon Valley's elite. The firm's ability to integrate wealth management with business and philanthropic advisory services sets it apart in the ultra-high-net-worth space.*

4. AssetMark **AUM: \$65,000,000,000**

▶▶ **ABOUT:** *AssetMark is a leading provider of investment and wealth management solutions for financial advisors. The firm, headquartered in Concord, California, offers a broad range of investment platforms, strategic portfolio management, and technology solutions designed to help independent advisors better serve their clients. AssetMark empowers financial advisors to provide comprehensive, goal-based investment solutions to their clients. The firm's commitment to supporting advisors with cutting-edge technology, integrated services, and personalized client solutions has helped it establish itself as a trusted partner in the financial advisory space.*

5. Hall Capital Partners **AUM: \$50,000,000,000**

▶▶ **ABOUT:** *Hall Capital Partners, based in San Francisco and New York, is a private investment firm that provides comprehensive portfolio management services to families, endowments, and foundations. With a focus on building diversified, global portfolios, Hall Capital Partners manages over \$50 billion in assets. The firm takes a long-term, client-centric approach, ensuring that portfolios are constructed to meet both the financial and personal goals of its clients. Hall Capital Partners' deep expertise in global markets, alternative investments, and private equity sets it apart, making it one of the premier firms for institutional and family wealth management. The firm's commitment to impact investing and sustainable growth further strengthens its reputation among socially conscious investors.*



6. IEQ Capital LLC

AUM: \$23,000,000,000

▶▶ **ABOUT:** *IEQ Capital is an independent wealth management firm headquartered in Foster City, California. The firm was founded with the goal of providing high-net-worth individuals and institutions with sophisticated financial planning, investment advisory, and family office services. IEQ Capital focuses on creating tailored strategies for its clients, with an emphasis on long-term wealth preservation and growth. The firm manages over \$23 billion in assets, working closely with clients to design portfolios that align with their financial goals and risk tolerance. IEQ Capital's team of experienced professionals is dedicated to delivering a high level of personalized service, making it a top choice for individuals seeking a customized wealth management experience.*

7. Makena Capital Management

AUM: \$23,000,000,000

▶▶ **ABOUT:** *Makena Capital Management is a global, multi-asset class investment manager serving endowments, foundations, and family offices. Headquartered in Menlo Park, California, Makena is known for its long-term, endowment-style investment philosophy. The firm manages over \$23 billion in assets, with a focus on building diversified portfolios that encompass public equities, fixed income, private equity, real assets, and hedge funds. Makena's investment approach is designed to deliver superior risk-adjusted returns over the long term, making it a trusted partner for institutions and individuals seeking consistent growth. The firm's commitment to transparency, fiduciary responsibility, and innovation makes it a standout in the global asset management industry.*

8. Empower Personal Wealth

AUM: \$20,500,000,000

▶▶ **ABOUT:** *Empower is a leading provider of financial services focused on helping individuals plan for retirement and achieve personal financial goals. The firm's solutions include personalized wealth management, investment advisory, and retirement planning. Empower serves over 17 million customers across the U.S. With a strong emphasis on technology and data-driven financial strategies, Empower provides clients with the tools and advice they need to make informed decisions about their financial future. Headquartered in Greenwood Village, Colorado, Empower is recognized for its innovative approach to retirement solutions, particularly its use of digital tools to enhance client engagement and outcomes.*



9. Newfront Retirement Services

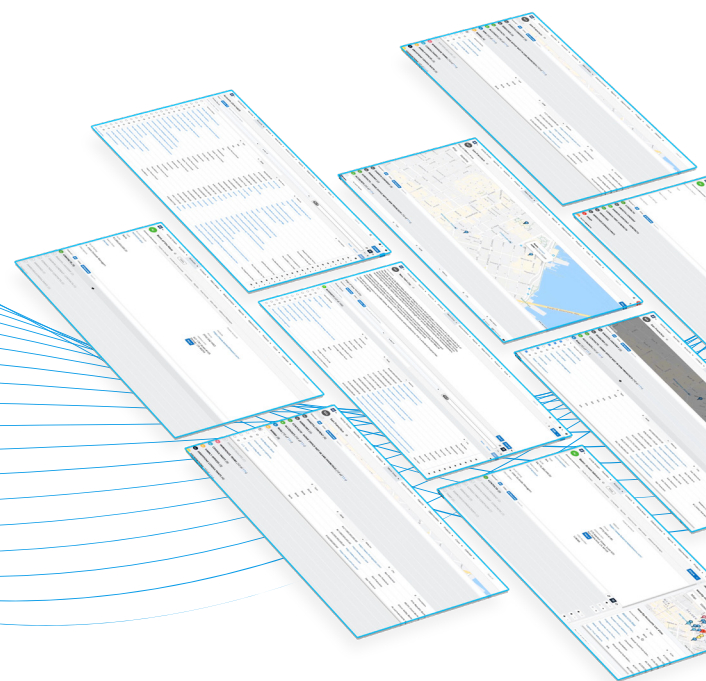
AUM: \$20,000,000,000

▶▶ **ABOUT:** *Newfront Retirement, based in San Francisco, is a modern insurance and financial services firm that offers a wide array of retirement planning and benefits solutions to businesses and individuals. The firm leverages technology to provide tailored advice and seamless experiences to its clients. Newfront's goal is to simplify the complexities of retirement planning, ensuring that businesses can offer top-tier benefits packages and individuals can confidently plan for their future. Newfront's innovative approach, combining human expertise with technology, positions it as a leader in the retirement services space. Although exact figures on assets under management are not publicly available, the firm has quickly gained a reputation for its client-first, tech-driven model.*

10. Strategic Retirement Partners

AUM: \$16,800,000,000

▶▶ **ABOUT:** *Strategic Retirement Partners (SRP) is a national retirement plan consulting firm specializing in plan design, fiduciary governance, and participant education. SRP works closely with plan sponsors to create retirement solutions that align with both regulatory requirements and participant needs. The firm offers a full suite of services, from investment advisory to compliance support, and prides itself on providing personalized attention to each client. SRP's team of experts works across industries, helping businesses design and manage retirement plans that enhance employee engagement and secure financial futures. Although asset management details are not widely published, SRP's expertise in creating customized, client-focused solutions makes it a leader in the retirement advisory field.*



THE TOP 10 RIAS IN Lost Angeles, California



*Information as of 9/10/2024

1. SageView Advisory Group

AUM: \$200,000,000,000

▶▶ **ABOUT:** SageView Advisory Group is a leading independent investment advisory firm that specializes in retirement plan consulting, wealth management, and financial planning services. Headquartered in Irvine, California, the firm serves both institutional clients and high-net-worth individuals, offering customized investment strategies to meet their unique financial goals. SageView manages more than \$200 billion in assets across thousands of retirement plans and wealth management portfolios. With a commitment to fiduciary excellence and personalized service, SageView helps clients navigate complex financial decisions with a long-term perspective. The firm's comprehensive approach integrates investment management with financial wellness programs, ensuring that both employers and employees benefit from sound financial strategies.

2. NFP Retirement Inc.

AUM: \$96,000,000,000

▶▶ **ABOUT:** NFP Retirement Advisory is part of NFP Corp, a leading insurance broker and consultant that also offers wealth management and retirement planning services. The firm provides tailored retirement advisory services to organizations of all sizes, with a focus on optimizing plan design and improving participant outcomes. NFP's retirement advisory practice emphasizes fiduciary responsibility and compliance, helping clients mitigate risks while enhancing the value of their retirement plans. In addition to corporate retirement plans, NFP offers personalized wealth management solutions for individuals and families. Although specific assets under management are not publicly available, NFP's reputation as a top-tier advisory firm is underscored by its deep industry expertise and dedication to client success.



3. Beacon Pointe Advisors

AUM: \$28,000,000,000

▶▶ **ABOUT:** *Beacon Pointe Advisors is one of the largest independent Registered Investment Advisory (RIA) firms in the U.S., with a national footprint that spans over 40 offices. The firm offers comprehensive wealth management services, including financial planning, investment advisory, and family office services, to high-net-worth individuals, families, and institutions. Beacon Pointe manages more than \$28 billion in assets, providing a blend of proprietary investment strategies and third-party solutions to meet the unique needs of its clients. Headquartered in Newport Beach, California, Beacon Pointe is known for its client-first approach, emphasizing transparency and fiduciary responsibility in every aspect of its services. The firm is also committed to sustainability and impact investing, aligning client portfolios with environmental, social, and governance (ESG) factors.*

4. Evoke Advisors

AUM: \$21,700,000,000

▶▶ **ABOUT:** *Evoke Wealth is a Los Angeles-based independent advisory firm that caters to ultra-high-net-worth individuals and families. The firm specializes in providing bespoke wealth management and financial planning services, tailored to the specific needs and goals of its clients. Evoke Wealth takes a holistic approach to wealth management, integrating investment management with estate planning, tax strategy, and philanthropic advisory. Evoke is recognized for its highly personalized service model and its ability to craft sophisticated financial solutions for complex client needs. The team's deep experience in working with entrepreneurs and multigenerational families sets them apart as a trusted partner for wealth preservation and growth.*

5. Whittier Trust Company

AUM: \$20,000,000,000

▶▶ **ABOUT:** *Whittier Trust is a family office and wealth management firm that has been serving high-net-worth families and individuals since 1935. With offices in California, Nevada, and Washington, Whittier Trust offers personalized wealth management, investment advisory, and fiduciary services. The firm manages over \$20 billion in assets, with a focus on building long-term relationships with its clients. Whittier Trust takes a multi-generational approach to wealth planning, offering expertise in estate planning, trust administration, and philanthropic advisory. Their investment philosophy is centered on preserving and growing wealth for future generations, making them a trusted partner for affluent families looking for tailored financial solutions. Whittier's reputation for discretion and excellence has earned them a prominent place in the wealth management industry.*



6. United Capital

AUM: \$17,000,000,000

▶▶ **ABOUT:** *United Capital was acquired by Creative Planning in 2023, previously a part of Goldman Sachs Personal Financial Management (PFM), United Capital aims to empower individuals and families to make well-informed decisions about their financial futures. The firm offers a broad range of services, including financial planning, investment management, and retirement solutions, tailored to meet the unique goals of each client. United Capital prides itself on a goal-based approach, which helps clients align their financial plans with their life aspirations.*

7. EP Wealth Advisors

AUM: \$17,000,000,000

▶▶ **ABOUT:** *EP Wealth Advisors is an independent wealth management firm that offers a full suite of financial services, including investment management, financial planning, and tax and estate planning. Headquartered in Torrance, California, EP Wealth has grown rapidly, managing over \$17 billion in client assets. The firm serves high-net-worth individuals, families, and businesses, offering personalized solutions designed to meet their long-term financial goals. EP Wealth's approach is centered on understanding each client's unique circumstances and providing fiduciary advice that aligns with their best interests. The firm's combination of personalized service and institutional-level expertise has made it a leading RIA in the U.S. wealth management industry.*

8. Signature Estate and Investment

AUM: \$17,000,000,000

▶▶ **ABOUT:** *Signature Estate & Investment Advisors (SEIA) is a full-service wealth management firm based in Los Angeles, California. Founded in 1997, SEIA offers comprehensive financial planning, investment management, and retirement planning services to individuals, families, and businesses. The firm manages over \$17 billion in assets, with a focus on delivering personalized, fiduciary advice that aligns with the unique needs of its clients. SEIA's team of experienced professionals works closely with clients to create customized financial strategies, addressing everything from portfolio management to estate and tax planning. SEIA's commitment to transparency and long-term planning has earned it a reputation as a trusted advisor to affluent clients across the country.*



9. IDB Lido Wealth

AUM: \$12,000,000,000

▶▶ **ABOUT:** IDB Bank, part of Israel Discount Bank of New York, offers wealth management services to high-net-worth individuals and families. Headquartered in New York City, IDB Bank provides a wide range of financial services, including investment advisory, estate planning, and trust administration. The firm's wealth management division specializes in helping clients build and preserve wealth through tailored investment strategies and personalized financial planning. With access to global markets and cutting-edge financial solutions, IDB Bank is a trusted advisor to both U.S.-based and international clients. IDB Bank's long-standing reputation for excellence and personalized service makes it a prominent player in the wealth management industry.

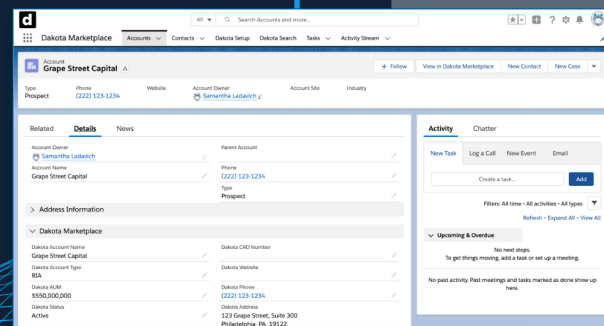
10. Main Street Advisors

AUM: \$8,000,000,000

▶▶ **ABOUT:** Main Street Advisors is a boutique wealth management firm that provides investment advisory and financial planning services to high-net-worth individuals, families, and institutions. The firm, based in Los Angeles, takes a personalized approach to wealth management, working closely with clients to understand their financial goals and create customized investment strategies. Main Street Advisors offers a wide range of services, including portfolio management, estate planning, and tax optimization. While specific assets under management are not publicly available, the firm's reputation for discretion and tailored advice has made it a trusted partner for affluent clients seeking long-term financial stability and growth. Main Street's commitment to personalized service and fiduciary responsibility has earned it a strong standing in the wealth management community.

To access full account details and explore more RIAs, book a demo of Dakota Marketplace.

Book a Demo!



THE TOP 10 RIAS IN Philadelphia, Pennsylvania

*Information as of 9/10/2024

1. Centurion Group, a Marsh McLennan Agency (MMA) LLC Company

AUM: \$28,000,000,000

▶ **ABOUT:** MMA Centurion is an independent, full-service wealth management and financial advisory firm, headquartered in Wayne, Pennsylvania. The firm specializes in serving high-net-worth individuals, families, and institutions with personalized financial planning, investment management, and retirement planning solutions. MMA Centurion's approach centers on understanding clients' unique needs and objectives, allowing the firm to tailor customized strategies designed to meet long-term financial goals. The firm's expertise includes portfolio management, tax-efficient strategies, and estate planning, all with a strong focus on fiduciary responsibility. Though specific assets under management are not publicly available, MMA Centurion's emphasis on transparency and client-first advisory services has earned it a solid reputation in the wealth management industry.

2. Clark Capital Management Group, Inc.

AUM: \$25,000,000,000

▶ **ABOUT:** Clark Capital Management Group (CCMG), founded in 1986 and headquartered in Philadelphia, is a leading independent asset management firm serving financial advisors and institutional investors. With over \$25 billion in assets under management, Clark Capital specializes in offering actively managed, risk-based investment strategies designed to help clients achieve their financial goals. The firm works closely with advisors to deliver innovative, data-driven portfolio solutions that address a wide range of investment objectives. Clark Capital's core mission is to empower investors through disciplined risk management, thoughtful asset allocation, and a focus on long-term wealth creation. Their collaborative approach with financial advisors has established them as a trusted partner in the financial services industry.



3. Hirtle Callaghan & Co.

AUM: \$19,000,000,000

▶▶ **ABOUT:** *Hirtle, Callaghan & Co., founded in 1988, is a pioneering outsourced Chief Investment Officer (CIO) firm headquartered in West Conshohocken, Pennsylvania. The firm manages over \$19 billion in assets for institutions, endowments, foundations, and high-net-worth families. Hirtle Callaghan's unique model acts as an outsourced investment office, offering sophisticated portfolio management and customized investment solutions typically reserved for large institutions. The firm's deep expertise in risk management, asset allocation, and manager selection enables it to craft bespoke strategies aligned with each client's unique needs and objectives. Their fiduciary focus and institutional-level investment capabilities have made them a leader in outsourced investment management.*

4. The Haverford Trust Company

AUM: \$13,000,000,000

▶▶ **ABOUT:** *Founded in 1979, Haverford Trust Company is a wealth management firm headquartered in Radnor, Pennsylvania. The firm offers a wide array of services, including investment management, wealth planning, and trust services, catering primarily to high-net-worth individuals, families, and institutions. Haverford Trust manages over \$13 billion in assets, providing clients with personalized attention and a disciplined, long-term investment approach. The firm emphasizes conservative growth and capital preservation, focusing on crafting customized portfolios that align with each client's financial goals. Haverford Trust's commitment to fiduciary excellence and delivering personalized solutions has earned it a strong reputation as a trusted partner for wealth management in the Philadelphia region.*

5. myCIO Wealth Partners

AUM: \$12,900,000,000

▶▶ **ABOUT:** *myCIO Wealth Partners, based in Philadelphia, is an independent financial advisory firm that specializes in providing comprehensive wealth management services to individuals, families, and institutions. The firm offers investment advisory, financial planning, tax planning, and estate planning services, with a strong focus on aligning financial strategies with clients' long-term goals. myCIO Wealth Partners operates under a fiduciary standard, ensuring that all advice is in the best interest of its clients. The firm's highly customized approach has made it a trusted advisor for affluent individuals seeking sophisticated, tailored financial strategies. myCIO focuses on delivering holistic, client-centric financial planning sets it apart in the industry.*



6. Mill Creek Capital Advisors, LLC

AUM: \$9,500,000,000

▶▶ **ABOUT:** *Mill Creek Capital Advisors, based in Conshohocken, Pennsylvania, is an independent wealth management firm that serves high-net-worth individuals, families, and institutions. The firm provides customized investment management, financial planning, and estate planning services, with a focus on long-term wealth preservation and growth. Mill Creek's team of experienced professionals works closely with clients to craft tailored investment strategies that align with their financial objectives. The firm's fiduciary commitment ensures that all advice is objective and in the best interest of the client. With over \$9 billion in assets under management, Mill Creek Capital Advisors has established itself as a trusted partner for those seeking a personalized, client-first approach to wealth management.*

7. Great Valley Advisor Group

AUM: \$7,000,000,000

▶▶ **ABOUT:** *Great Valley Advisor Group (GVA) is an independent RIA platform that provides advisory services to financial advisors across the U.S. Headquartered in Wyomissing, Pennsylvania, GVA supports financial professionals with a suite of back-office, compliance, and technology solutions, enabling advisors to focus on delivering customized financial planning and investment management services to their clients. GVA serves high-net-worth individuals, families, and institutional clients, offering a wide range of services, including retirement planning, estate planning, and portfolio management. The firm's advisor-centric model has allowed it to rapidly expand, with assets under management totaling over \$7 billion. GVA's commitment to empowering independent advisors with innovative tools and personalized support sets it apart in the RIA space.*

8. Pitcairn Trust Company

AUM: \$7,000,000,000

▶▶ **ABOUT:** *Pitcairn, established in 1923, is a family office that provides comprehensive wealth management services to ultra-high-net-worth families. Headquartered in Jenkintown, Pennsylvania, Pitcairn specializes in multi-generational wealth planning, investment management, tax and estate planning, and family governance. With over \$7 billion in assets under management, Pitcairn takes a holistic approach to managing family wealth, focusing on preserving and growing wealth across generations. The firm's deep expertise in addressing the unique challenges of family offices, combined with its highly personalized service, has earned it a reputation as a leading family office in the U.S. Pitcairn's ability to align family values with financial objectives sets it apart in the wealth management industry.*



9. Capital Analysts LLC

AUM: \$6,500,000,000

▶▶ **ABOUT:** *Capital Analysts, headquartered in Bala Cynwyd, Pennsylvania, is a full-service wealth management and financial planning firm that provides tailored investment strategies for high-net-worth individuals and institutional clients. The firm offers a range of services, including portfolio management, retirement planning, tax strategies, and estate planning. Capital Analysts prides itself on delivering highly personalized financial solutions that align with the specific goals and needs of its clients. The firm's team of experienced advisors focuses on long-term wealth preservation, using a disciplined approach to asset management. While specific assets under management are not widely publicized, Capital Analysts' reputation for delivering client-centric, fiduciary-focused advice has made it a trusted name in the financial advisory space.*

10. Cornerstone Independent Asset Management

AUM: \$6,000,000,000

▶▶ **ABOUT:** *Cornerstone Advisors Asset Management is an independent wealth management and financial advisory firm headquartered in Bethlehem, Pennsylvania. The firm offers a wide range of services, including investment management, retirement planning, estate planning, and business advisory services. Cornerstone Advisors serves high-net-worth individuals, families, businesses, and institutional clients, with a strong focus on creating customized, long-term financial strategies. With over \$6 billion in assets under management, Cornerstone's team of professionals works closely with clients to ensure their financial plans are aligned with their goals and risk tolerance. The firm's fiduciary responsibility and personalized approach have made it a trusted advisor for those seeking comprehensive financial planning solutions.*



THE TOP 10 RIAS IN Boston, Massachusetts



*Information as of 9/10/2024

1. Fidelity Strategic Advisors

AUM: \$825,000,000,000

▶▶ **ABOUT:** *Fidelity Investments is one of the largest and most recognized names in financial services, serving individual investors, businesses, and institutions. Founded in 1946 and headquartered in Boston, Massachusetts, Fidelity offers a comprehensive range of financial products, including brokerage, retirement planning, investment management, and financial advisory services. Fidelity delivers a client-first experience, supported by cutting-edge technology and research. The firm is a pioneer in providing low-cost, diversified investment solutions, making it a trusted partner for millions of individuals planning their financial futures. Fidelity's broad range of offerings includes everything from personalized financial advice to advanced retirement planning tools, cementing its reputation as a leader in the financial industry.*

2. Partners Capital Group

AUM: \$50,000,000,000

▶▶ **ABOUT:** *Partners Capital is a leading global outsourced investment office serving ultra-high-net-worth families, foundations, and endowments. Founded in 2001 and headquartered in Boston, the firm manages over \$50 billion in assets globally, offering bespoke investment strategies tailored to meet the unique needs of each client. Partners Capital employs a disciplined, institutional approach to portfolio management, combining proprietary research with access to top-tier asset managers. The firm's emphasis on diversification, risk management, and long-term wealth preservation has made it a trusted advisor for individuals and institutions seeking sophisticated, global investment solutions. Partners Capital's deep expertise and personalized service model set it apart as a premier outsourced investment office.*



3. SCS Financial Services

AUM: \$32,000,000,000

▶▶ **ABOUT:** *SCS Financial is a Boston-based wealth management firm that provides investment management and family office services to ultra-high-net-worth individuals, families, and institutions. The firm was founded in 2002 and currently manages over \$32 billion in assets. SCS Financial specializes in creating customized financial solutions designed to preserve and grow wealth across generations. The firm's comprehensive service offering includes investment advisory, estate planning, tax strategy, and philanthropic guidance. SCS Financial's focus on high-net-worth and institutional clients allows it to offer a personalized approach to wealth management, backed by institutional-level expertise and resources. Its reputation for discretion and client-first service has made SCS Financial a trusted partner for affluent families and institutional investors.*

4. Pathstone

AUM: \$25,000,000,000

▶▶ **ABOUT:** *Pathstone is an independent, partner-owned wealth management firm that serves ultra-high-net-worth individuals, families, and foundations. With over \$25 billion in assets under management, the firm offers comprehensive financial services, including investment management, tax planning, estate planning, and family office services. Pathstone emphasizes transparency, fiduciary responsibility, and a collaborative approach, ensuring that clients receive tailored strategies aligned with their unique goals. Headquartered in Englewood, New Jersey, Pathstone is known for integrating cutting-edge technology with a personalized client experience. The firm's expertise in sustainable and impact investing has positioned it as a leader for clients seeking socially responsible investment solutions.*

5. The Colony Group

AUM: \$21,000,000,000

▶▶ **ABOUT:** *The Colony Group is a nationally recognized wealth management firm offering comprehensive financial advisory services to high-net-worth individuals, families, businesses, and institutions. Headquartered in Boston, Colony manages over \$21 billion in assets, providing tailored investment management, tax planning, and estate planning services. The firm also offers specialized services such as business succession planning and retirement advisory for executives. Colony's commitment to excellence in client service is evident in its holistic approach, combining investment expertise with personalized financial planning to meet clients' long-term goals. With a strong focus on fiduciary responsibility, Colony has earned a reputation as a trusted partner in wealth management.*



6. Integrated Partners

AUM: \$16,000,000,000

▶▶ **ABOUT:** *Integrated Partners is a full-service financial advisory firm that provides wealth management and financial planning services to individuals, businesses, and financial advisors. Headquartered in Waltham, Massachusetts, Integrated Partners manages over \$16 billion in assets and works with a network of financial advisors across the country. The firm offers a wide range of services, including investment advisory, retirement planning, estate planning, and tax strategy. Integrated Partners emphasizes collaboration with advisors, offering them the tools, resources, and support needed to serve their clients effectively. The firm's commitment to empowering advisors and delivering personalized solutions has made it a key player in the independent advisory space.*

7. Ropes Wealth Advisors

AUM: \$15,000,000,000

▶▶ **ABOUT:** *Ropes Wealth Advisors, a Boston-based wealth management firm, was established as an affiliate of the prestigious law firm Ropes & Gray. The firm specializes in providing comprehensive financial planning, investment management, and fiduciary services to high-net-worth individuals, families, and institutions. With a focus on long-term wealth preservation and growth, Ropes Wealth Advisors offers customized investment strategies, estate planning, and tax optimization services. The firm's deep expertise in working with complex financial situations, particularly in the areas of tax and estate planning, has earned it a reputation for delivering sophisticated, client-focused solutions.*

8. SVB Boston Private Wealth

AUM: \$14,000,000,000

▶▶ **ABOUT:** *First Citizens Bank acquired Silicon Valley Bank (SVB) in 2023, integrating the two institutions' wealth management and advisory services. First Citizens now offers a comprehensive range of financial solutions, including business advisory, investment management, and personal wealth management services, aimed at individuals, families, and entrepreneurs, particularly in the innovation and technology sectors. The firm's commitment to providing high-touch service combined with the resources of a large, national bank positions it as a leader in wealth management for the innovation economy. With a strong reputation for understanding the unique financial needs of tech professionals and business owners, First Citizens Bank is well-positioned to continue the legacy of SVB's specialized wealth management expertise.*



9. Ballentine Partners

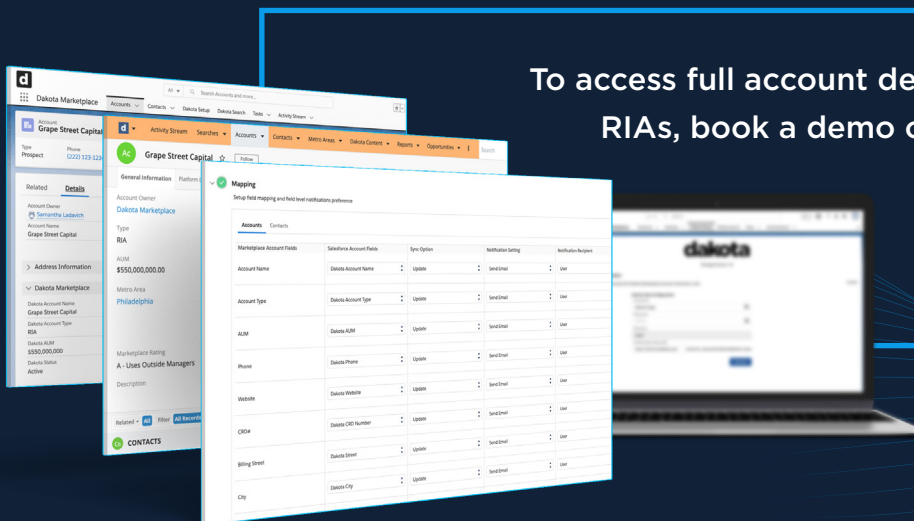
AUM: \$10,000,000,000

▶▶ **ABOUT:** *Ballentine Partners, headquartered in Waltham, Massachusetts, is an independent wealth management firm that provides family office and investment advisory services to ultra-high-net-worth individuals, families, and institutions. The firm manages over \$10 billion in assets and focuses on offering tailored financial solutions that integrate investment management, estate planning, tax strategy, and family governance. Ballentine's approach is rooted in a deep understanding of its clients' values and goals, allowing the firm to create highly personalized financial strategies that prioritize wealth preservation and long-term growth. The firm's expertise in serving multigenerational families and its commitment to fiduciary responsibility have earned it a reputation as a trusted advisor in the family office space.*

10. GW & Wade at The Colony Group

AUM: \$10,000,000,000

▶▶ **ABOUT:** *GW & Wade, part of The Colony Group, is a boutique wealth management firm that offers personalized financial advisory services to high-net-worth individuals and families. The firm specializes in creating tailored financial solutions that address a wide range of needs, from investment management to tax planning and estate planning. With a focus on long-term wealth preservation and growth, the firm takes a holistic approach to financial planning, ensuring that all aspects of a client's financial life are aligned with their personal goals. Their expertise in working with complex financial situations has made it a trusted partner for affluent families and individuals seeking comprehensive financial solutions.*



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THE TOP 10 RIAS IN Chicago, Illinois

*Information as of 9/10/2024



1. Cetera Financial Specialists LLC

AUM: \$104,000,000,000

▶▶ **ABOUT:** *Cetera Financial Group is one of the largest networks of independent financial advisors in the U.S., providing a wide range of services through multiple affiliate firms. Cetera Financial Specialists, one of its branches, caters to tax professionals who also offer financial planning and advisory services. Cetera's offerings include investment advisory, retirement planning, insurance solutions, and wealth management, providing advisors with the tools and resources to deliver tailored financial advice to their clients. Cetera serves a diverse client base that includes individuals, families, and businesses, with a commitment to fiduciary responsibility. While assets under management vary across its network, Cetera's broad range of services and innovative technology solutions have earned it a strong reputation in the independent financial advisory space.*

2. William Blair

AUM: \$82,000,000,000

▶▶ **ABOUT:** *William Blair is a global investment banking and wealth management firm headquartered in Chicago. Founded in 1935, the firm provides comprehensive financial services, including investment banking, asset management, and private wealth management, to individuals, families, and institutions. William Blair's wealth management division specializes in delivering customized investment strategies, financial planning, and estate planning services to high-net-worth clients. With over \$82 billion in assets under management, the firm's client-centric approach, supported by cutting-edge research and a global perspective, ensures that clients receive tailored solutions designed to achieve long-term financial success. William Blair's expertise across public and private markets makes it a trusted partner for individuals seeking sophisticated financial strategies.*



3. CIBC Private Wealth

AUM: \$73,000,000,000

▶▶ **ABOUT:** *CIBC Private Wealth is a leading provider of wealth management services to high-net-worth individuals, families, and institutions. Headquartered in Chicago, CIBC Private Wealth delivers a comprehensive range of financial services, including investment management, financial planning, and fiduciary services. The firm manages more than \$73 billion in assets and is recognized for its personalized approach, offering tailored financial solutions designed to preserve and grow wealth across generations. CIBC's deep expertise in cross-border wealth management and its integrated approach to financial planning makes it a trusted advisor for affluent clients seeking holistic wealth management strategies. The firm's commitment to delivering client-first solutions has earned it a strong reputation in both the U.S. and Canada.*

4. Cresset Wealth Advisors

AUM: \$40,000,000,000

▶▶ **ABOUT:** *Cresset Capital is a fast-growing wealth management firm that provides comprehensive financial planning, investment management, and family office services to ultra-high-net-worth individuals and families. Headquartered in Chicago, the firm manages over \$40 billion in assets and offers a holistic approach to wealth management, integrating financial planning with investment strategies, tax planning, estate planning, and philanthropy. Cresset's innovative model combines traditional wealth management with a modern family office structure, allowing clients to receive highly personalized service tailored to their unique financial goals. Cresset is known for its commitment to impact investing and sustainable wealth management, making it a top choice for families seeking long-term, values-aligned financial strategies.*

5. Mesirow Financial

AUM: \$30,500,000,000

▶▶ **ABOUT:** *Mesirow is a Chicago-based independent financial services firm offering a wide range of services, including investment management, advisory, and insurance solutions for individuals, institutions, and corporations. With over \$30 billion in assets under management, Mesirow's wealth management division focuses on delivering tailored investment strategies, financial planning, and estate planning to high-net-worth individuals and families. The firm's comprehensive approach combines personalized service with institutional-level expertise, ensuring that clients receive customized financial solutions designed to meet their long-term goals. Mesirow's client-first approach, combined with its deep commitment to fiduciary responsibility, has earned it a strong reputation as a trusted wealth management partner.*



6. Savant Wealth Management

AUM: \$26,000,000,000

▷▷ **ABOUT:** *Savant Wealth Management is an independent, fee-only wealth management firm headquartered in Rockford, Illinois, with offices nationwide. The firm provides a full range of financial services, including investment management, retirement planning, tax planning, and estate planning. Savant manages over \$26 billion in assets and serves a broad client base, from high-net-worth individuals and families to businesses and institutions. Savant's approach is centered on holistic financial planning, ensuring that all aspects of a client's financial life are integrated into a cohesive strategy. The firm's fiduciary commitment and personalized service have earned it recognition as one of the top RIAs in the U.S.*

7. CAP STRAT

AUM: \$19,000,000,000

▷▷ **ABOUT:** *CAP STRAT is a leading independent registered investment advisory firm providing wealth management services to individuals, families, and institutions. Headquartered in Chicago, Illinois, the firm manages significant assets across its wealth management and retirement plan advisory services. CAP STRAT's approach combines deep investment expertise with personalized financial planning, focusing on long-term wealth preservation and growth. The firm's wealth management division serves high-net-worth clients, offering comprehensive financial solutions, including estate planning, tax strategies, and retirement planning. CAP STRAT operates with a fiduciary approach, ensuring that all recommendations are made in the best interest of the client. This commitment has helped CAP STRAT grow into one of the most respected independent RIAs in the U.S.*

8. Gallagher Global Office - Chicago

AUM: \$15,000,000,000

▷▷ **ABOUT:** *Gallagher, also known as Arthur J. Gallagher & Co., is a global insurance brokerage and risk management firm headquartered in Rolling Meadows, Illinois. While primarily known for its insurance services, Gallagher also offers comprehensive wealth management and retirement plan consulting services. Gallagher's wealth management division serves businesses, institutions, and individuals, providing investment management, financial planning, and retirement solutions. The firm's integrated approach ensures that clients receive customized financial advice that aligns with their long-term goals. With a reputation for fiduciary excellence and client-focused service, Gallagher is a trusted partner for clients seeking comprehensive financial solutions.*



9. Wintrust Wealth Management

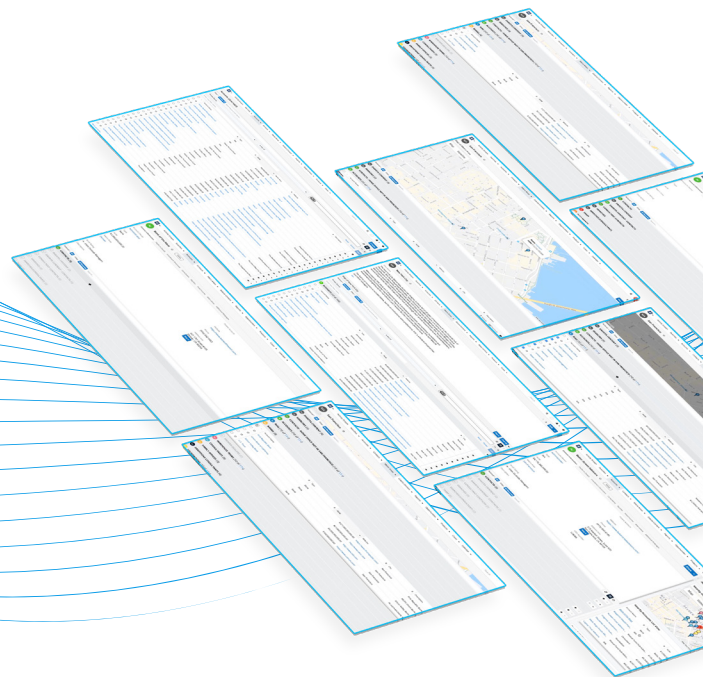
AUM: \$14,000,000,000

▶▶ **ABOUT:** *Wintrust Wealth Management, headquartered in Chicago, is a division of Wintrust Financial Corporation, offering a full suite of financial services to individuals, families, and businesses. The firm provides investment management, trust services, retirement planning, and financial planning, with a focus on delivering personalized solutions tailored to each client's financial goals. Wintrust manages over \$14 billion in assets and serves high-net-worth clients with an emphasis on long-term wealth preservation and growth. The firm's combination of personalized service, institutional-level expertise, and a strong fiduciary commitment has earned it a reputation as a top-tier wealth management provider in the Midwest.*

10. Sheridan Road Financial, a division of HUB International

AUM: \$12,000,000,000

▶▶ **ABOUT:** *Sheridan Road Advisors is a financial advisory firm that specializes in retirement plan consulting, investment advisory, and wealth management services. Headquartered in Chicago, the firm works with corporate clients, plan sponsors, and individuals, providing customized financial solutions that align with their unique needs. Sheridan Road manages billions in retirement assets, helping businesses design and implement retirement plans that improve participant outcomes while meeting regulatory obligations. The firm also offers personal wealth management services to individuals, focusing on delivering tailored financial strategies that help clients achieve their long-term financial goals. Sheridan Road's commitment to fiduciary responsibility and personalized service has made it a trusted advisor in the retirement planning and wealth management space.*



THE TOP 10 RIAS IN Denver, Colorado



*Information as of 9/10/2024

1. Mercer Global Advisors

AUM: \$45,000,000,000

▶▶ **ABOUT:** Mercer Advisors is a full-service wealth management firm founded in 1985 and headquartered in Denver, Colorado. The firm provides comprehensive financial planning, investment management, tax strategy, and estate planning services to high-net-worth individuals and families. Mercer Advisors manages over \$45 billion in assets and is known for its holistic approach to financial planning, integrating all aspects of wealth management under one roof. The firm operates with a strong fiduciary commitment, ensuring that all advice is tailored to meet the client's best interests. Mercer Advisors also emphasizes sustainable and impact investing, offering clients the ability to align their portfolios with their personal values while achieving long-term financial success.

2. Innovest Portfolio Solutions

AUM: \$35,000,000,000

▶▶ **ABOUT:** Innovest Portfolio Solutions is an independent investment consulting firm based in Denver, Colorado. Founded in 1996, Innovest serves institutional clients, including nonprofit organizations, retirement plan sponsors, and foundations, as well as high-net-worth individuals. The firm provides investment advisory, fiduciary consulting, and retirement plan consulting services, managing over \$35 billion in assets. Innovest's approach is centered on delivering independent, research-driven investment advice that aligns with each client's goals. The firm's commitment to fiduciary responsibility and transparency has made it a trusted advisor for institutions and individuals seeking objective, client-first investment strategies. Innovest's focus on building long-term, collaborative relationships with clients sets it apart in the wealth management space.



3. AMG National Trust Bank

AUM: \$8,100,000,000

▶▶ **ABOUT:** AMG National Trust is an independent financial services firm that provides a wide range of wealth management services to individuals, families, and institutions. Headquartered in Denver, Colorado, AMG offers investment management, trust services, financial planning, and retirement plan advisory. With over \$8 billion in assets under management, the firm's approach is centered on personalized, fiduciary-driven advice, ensuring that clients receive tailored solutions that meet their financial goals. AMG National Trust's reputation for offering highly customized services, including sophisticated tax and estate planning, makes it a go-to partner for clients seeking long-term wealth preservation and growth.

4. 1888 Investments, LLC

AUM: \$6,700,000,000

▶▶ **ABOUT:** 1888 Investments, LLC is a Denver-based financial advisory firm managing \$6.7 billion in assets across 56 client accounts. The firm provides highly personalized wealth management services to high-net-worth clients. Operating on a discretionary basis, 1888 Investments makes buy and sell decisions for clients without requiring approval for each transaction, allowing for proactive portfolio management. Headquartered in Denver, the firm is licensed to serve clients in multiple states, including Colorado, Delaware, and Kansas.

5. Aspen Grove Capital

AUM: \$5,000,000,000

▶▶ **ABOUT:** Aspen Grove Capital, based in Greenwood Village, Colorado, is an independent wealth management firm that offers personalized financial planning, investment management, and family office services. Aspen Grove specializes in serving high-net-worth individuals and families, providing tailored financial strategies designed to preserve and grow wealth across generations. The firm's approach combines traditional investment management with holistic financial planning, addressing all aspects of a client's financial life. Aspen Grove is known for its client-centric approach, offering fiduciary-driven advice and solutions that align with each client's unique goals and values.



6. Crestone Capital Advisors LLC

AUM: \$3,000,000,000

▶▶ **ABOUT:** *Crestone Capital, headquartered in Boulder, Colorado, is an independent wealth management firm that specializes in serving ultra-high-net-worth individuals, families, and entrepreneurs. The firm offers a full suite of financial services, including investment management, financial planning, and family office services. With over \$3 billion in assets under management, Crestone focuses on delivering highly personalized advice tailored to meet the unique needs of each client. Crestone's expertise in alternative investments, estate planning, and philanthropy allows the firm to offer comprehensive financial solutions for clients with complex financial lives. The firm's collaborative, client-first approach has earned it a reputation as a leading wealth management provider for ultra-high-net-worth families.*

7. IWP Family Office

AUM: \$3,000,000,000

▶▶ **ABOUT:** *IWP Family Office, based in Denver, Colorado, provides comprehensive financial planning, investment management, and family office services to high-net-worth individuals and families. IWP's services are designed to meet the unique needs of affluent families, with a focus on long-term wealth preservation, estate planning, and tax optimization. The firm operates as a fiduciary, ensuring that all advice is tailored to the client's best interests. While specific assets under management are not publicly available, IWP is known for offering highly personalized, family-focused financial solutions. IWP's expertise in family governance and multi-generational wealth planning makes it a trusted partner for affluent families seeking comprehensive financial strategies.*

8. Transform Wealth

AUM: \$2,500,000,000

▶▶ **ABOUT:** *Transform Wealth is a boutique wealth management firm headquartered in Denver, Colorado. The firm provides personalized financial planning, investment management, and retirement planning services to high-net-worth individuals and families. Transform Wealth's approach is centered on holistic financial planning, ensuring that all aspects of a client's financial life are addressed in a cohesive strategy. With a strong fiduciary commitment, the firm focuses on delivering customized advice that aligns with each client's long-term financial goals. Transform Wealth is known for its client-first approach and its ability to deliver tailored financial solutions that help clients achieve financial independence.*



9. GHP Investment Advisors

AUM: \$2,100,000,000

▶ **ABOUT:** *GHP Investment Advisors (GHPIA) is a Denver-based independent wealth management firm that provides investment advisory and financial planning services to individuals, families, and institutions. GHPIA's approach is centered on delivering long-term, value-driven investment strategies designed to meet the unique financial goals of its clients. The firm offers a comprehensive range of services, including retirement planning, estate planning, and tax strategy. GHPIA's commitment to transparency, fiduciary responsibility, and personalized service has made it a trusted partner for clients seeking sophisticated financial solutions. GHPIA's focuses on disciplined investment management and client-first service sets it apart in the wealth management industry.*

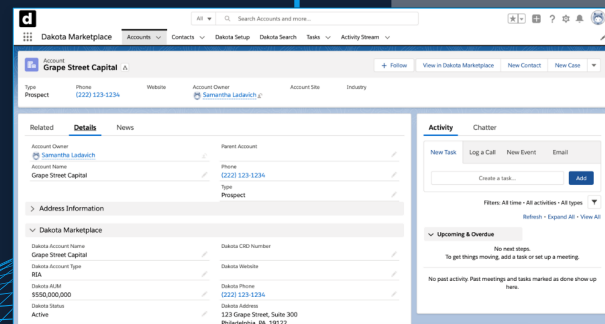
10. Kyard USA

AUM: \$2,100,000,000

▶ **ABOUT:** *Kyard Capital, based in Greenwood Village, Colorado, is an independent wealth management firm that provides investment management and financial planning services to high-net-worth individuals and families. The firm's approach is focused on delivering customized financial strategies designed to meet the unique needs of each client, with an emphasis on long-term wealth preservation and growth. Kyard Capital operates with a strong fiduciary commitment, ensuring that all advice is in the client's best interest. Kyard Capital is known for its highly personalized service and its ability to create tailored financial solutions that align with each client's financial objectives.*

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THE TOP 10 RIAS IN Atlanta, Georgia

*Information as of 9/10/2024

1. Alliant Retirement Consulting

AUM: \$17,000,000,000

▶▶ **ABOUT:** Alliant Retirement Consulting is a leading independent retirement plan consulting firm based in Newport Beach, California. The firm specializes in helping organizations design, implement, and manage retirement plans that align with the needs of their employees while meeting fiduciary obligations. Alliant serves a wide range of clients, from corporations to non-profits, offering plan design, vendor management, compliance support, and participant education. With a strong emphasis on fiduciary responsibility, Alliant delivers customized retirement solutions that improve employee outcomes and ensure regulatory compliance.

2. Blue Trust

AUM: \$16,500,000,000

▶▶ **ABOUT:** BlueTrust Wealth Management offers comprehensive wealth management services to individuals, families, and businesses. The firm provides personalized financial planning, investment management, estate planning, and retirement solutions. BlueTrust's approach focuses on creating tailored strategies to meet the unique financial goals of each client. With an emphasis on fiduciary responsibility and long-term growth, BlueTrust Wealth Management has built a strong reputation for delivering individualized financial solutions designed to preserve and grow wealth.

3. Homrich Berg Wealth Management

AUM: \$14,500,000,000

▶▶ **ABOUT:** Homrich Berg is an independent, fee-only wealth management firm headquartered in Atlanta, Georgia. The firm offers a full range of services, including investment management, financial planning, estate planning, and tax strategy, to high-net-worth individuals and families. Managing over \$14 billion in assets, Homrich Berg takes a holistic approach to wealth management, integrating all aspects of a client's financial life into a cohesive plan. The firm's fiduciary commitment and personalized service have earned it a strong reputation as a trusted advisor for affluent clients seeking long-term financial strategies.



4. Plan Sponsor Consultants, a Division of Hub International

AUM: \$10,300,000,000

▶▶ **ABOUT:** *Plan Sponsor Consultants is a specialized retirement plan consulting firm that works with plan sponsors to optimize their retirement plan offerings. Headquartered in Atlanta, Georgia, the firm focuses on helping businesses and organizations design, manage, and improve 401(k) and other retirement plans. Plan Sponsor Consultants offers fiduciary support, plan design consulting, and participant education services to ensure that clients meet regulatory requirements while enhancing employee engagement with their retirement plans. Their expert team provides customized solutions that align with both the employer's and employees' financial goals.*

5. Advisory Services Network

AUM: \$7,100,000,000

▶▶ **ABOUT:** *Advisor Service Network (ASN) is a financial services firm that provides back-office support, technology solutions, and advisory services to independent financial advisors. Headquartered in Alpharetta, Georgia, ASN helps advisors streamline their operations and improve client service by offering a platform for investment management, compliance, and client reporting. ASN's goal is to empower financial advisors to focus on their clients while the firm handles the administrative and operational aspects of running a financial advisory practice. By providing comprehensive support, ASN enables advisors to deliver personalized and fiduciary-focused financial advice to their clients.*

6. Diversified Trust Company

AUM: \$6,500,000,000

▶▶ **ABOUT:** *Diversified Trust is an independent wealth management firm that provides investment management, financial planning, and family office services. The firm manages over \$6 billion in assets for high-net-worth individuals, families, and institutions. Diversified Trust's client-centric approach focuses on building long-term relationships and delivering customized financial solutions that address the unique needs of each client. The firm offers expertise in investment strategy, tax planning, and estate planning, ensuring that clients receive comprehensive financial advice. Diversified Trust's commitment to fiduciary excellence has earned it a strong reputation in the wealth management industry.*



7. Signature FD

AUM: \$6,100,000,000

▶▶ **ABOUT:** *SignatureFD is an Atlanta-based wealth management firm that offers personalized financial planning, investment management, and tax strategy services to high-net-worth individuals and families. The firm manages over \$6 billion in assets and takes a holistic approach to financial planning, ensuring that all aspects of a client's financial life are integrated into a comprehensive strategy. SignatureFD's focus on empowering clients to live fully through their wealth has earned it a strong reputation for delivering tailored financial solutions designed to meet long-term goals. The firm's commitment to fiduciary responsibility and client-focused service sets it apart as a trusted advisor in the financial industry.*

8. Frontier Asset Management

AUM: \$5,700,000,000

▶▶ **ABOUT:** *Frontier Asset Management is an investment management firm that offers innovative portfolio strategies designed to meet the unique needs of its clients. The firm specializes in creating risk-adjusted, diversified portfolios using a proprietary investment process that aims to deliver consistent returns while minimizing risk. Frontier's approach is rooted in research and data-driven insights, ensuring that clients receive customized investment solutions that align with their financial goals. Frontier Asset Management's focuses on delivering high-quality investment strategies has made it a trusted partner for advisors and clients seeking long-term growth.*

9. Nicholas Hoffman

AUM: \$5,400,000,000

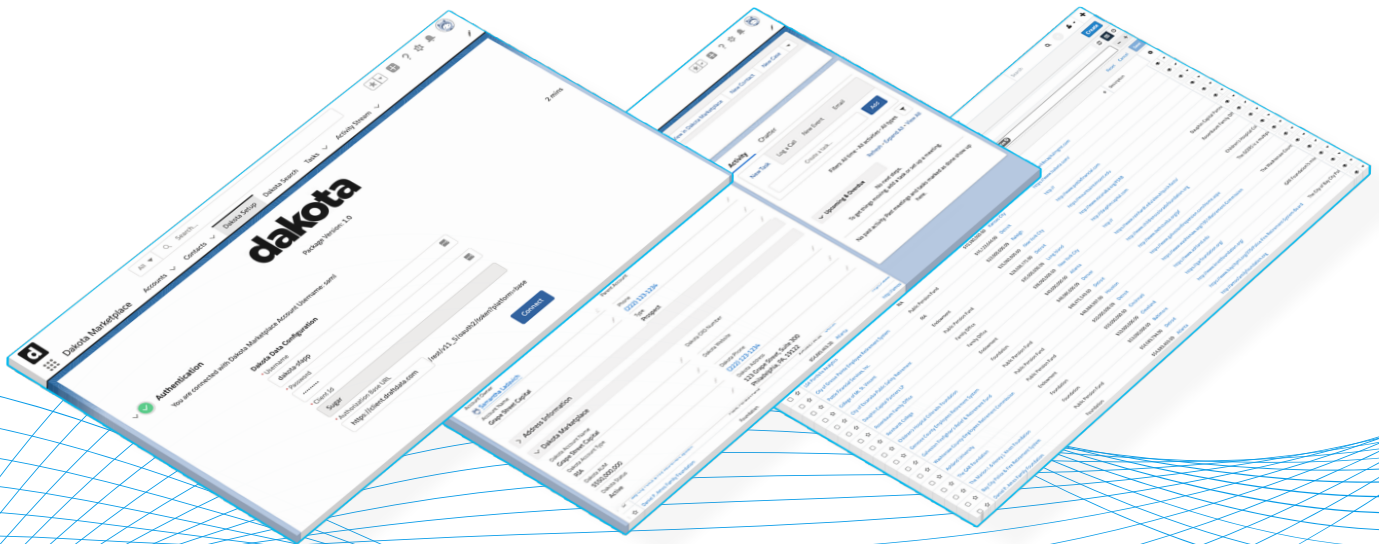
▶▶ **ABOUT:** *N. Hoffman & Co. is an independent wealth management firm that provides personalized financial advisory services to high-net-worth individuals and families. The firm specializes in investment management, financial planning, and tax strategies, offering tailored solutions designed to meet each client's unique financial needs. N. Hoffman & Co. operates with a fiduciary commitment, ensuring that all advice is aligned with the client's best interests. The firm's personalized approach and long-standing expertise in wealth management have made it a trusted advisor for affluent clients seeking sophisticated financial strategies.*



10. Capital Investment Advisors

AUM: \$5,200,000,000

▶▶ **ABOUT:** *Capital Investment Advisors is a fee-only wealth management firm headquartered in Atlanta, Georgia. The firm specializes in providing personalized investment management and financial planning services to individuals and families. With a focus on retirement income planning, Capital Investment Advisors helps clients create portfolios designed to generate consistent income while preserving and growing wealth over the long term. The firm manages over \$5 billion in assets and operates with a fiduciary commitment, ensuring that all advice is in the client's best interest. Capital Investment Advisors' personalized approach and expertise in retirement income strategies make it a trusted partner for clients seeking financial security and independence.*





THE TOP 10 RIAS IN Minneapolis, Minnesota

*Information as of 9/10/2024

1. Wealth Enhancement Group

AUM: \$81,000,000,000

▶▶ **ABOUT:** *Wealth Enhancement Group, headquartered in Plymouth, Minnesota, is a leading independent wealth management firm offering a full range of financial planning and investment management services. The firm serves individuals, families, and businesses, providing comprehensive solutions that include retirement planning, estate planning, tax strategies, and risk management. Wealth Enhancement Group manages over \$80 billion in assets and operates with a team-based approach, ensuring that clients receive tailored advice from financial advisors, tax specialists, and estate planners. With a focus on helping clients achieve their long-term financial goals, the firm's holistic planning and fiduciary responsibility have earned it a strong reputation in the wealth management space.*

2. Woodbury Financial Services Inc.

AUM: \$21,000,000,000

▶▶ **ABOUT:** *Woodbury Financial Services, based in Oakdale, Minnesota, is a financial advisory firm offering a wide range of services through its independent advisor network. As a subsidiary of Advisor Group, one of the largest independent broker-dealer networks in the U.S., Woodbury provides financial advisors with access to tools, resources, and support needed to serve their clients. Advisors associated with Woodbury offer personalized financial planning, investment management, retirement planning, and insurance solutions. Woodbury Financial's focus on empowering independent financial professionals allows its advisors to deliver client-centric and customized financial strategies, making it a trusted partner for both advisors and their clients.*



3. Fiduciary Counseling Inc

AUM: \$9,400,000,000

▶▶ **ABOUT:** *Fiduciary Counseling Inc. (FCI), headquartered in Minneapolis, Minnesota, provides a broad range of fiduciary and financial advisory services to high-net-worth individuals, families, and institutions. The firm specializes in investment management, estate planning, and trust administration, with a focus on long-term wealth preservation and growth. With a team of experienced professionals, the firm provides highly personalized financial solutions designed to meet the unique needs and goals of each client. FCI's reputation for delivering expert fiduciary services and customized financial strategies has made it a trusted partner in the wealth management space.*

4. CliftonLarsonAllen Wealth Advisors

AUM: \$7,900,000,000

▶▶ **ABOUT:** *CLA Wealth Advisory, a division of CliftonLarsonAllen LLP, provides comprehensive wealth management services, including financial planning, investment management, and estate planning. Headquartered in Minneapolis, Minnesota, CLA Wealth Advisory serves high-net-worth individuals, families, and business owners with tailored solutions designed to address their unique financial goals. The firm operates with a holistic approach, integrating tax planning and risk management into its wealth management services. CLA Wealth Advisory's fiduciary responsibility ensures that clients receive advice aligned with their best interests, helping them achieve long-term financial success. The firm's deep expertise in tax and financial planning sets it apart as a trusted advisor for affluent clients.*

5. Orgel Wealth Management

AUM: \$7,100,000,000

▶▶ **ABOUT:** *Orgel Wealth Management is an independent wealth management firm that provides personalized financial planning and investment management services to high-net-worth individuals and families. The firm specializes in creating tailored financial strategies that integrate investment management, tax planning, and estate planning. Orgel Wealth Management operates with a fiduciary commitment, ensuring that all advice is aligned with the best interests of its clients. With over \$7 billion in assets under management, Orgel Wealth Management's focus on delivering customized, client-first solutions has made it a trusted partner for affluent clients seeking long-term financial security.*



6. Standard Valuations Inc

AUM: \$7,000,000,000

▶▶ **ABOUT:** *Standard Valuations provides comprehensive valuation services for businesses, estates, and financial institutions. The firm specializes in offering independent and objective business valuations, which are critical for mergers and acquisitions, estate planning, and financial reporting. Standard Valuations works closely with clients to understand the unique aspects of their businesses, providing customized valuation reports that meet both regulatory and client needs. The firm's expertise and commitment to delivering accurate, reliable valuations have earned it a strong reputation in the financial advisory industry. While assets under management are not applicable, Standard Valuations is widely recognized for its technical proficiency and client-focused service.*

7. NorthRock Partners

AUM: \$5,700,000,000

▶▶ **ABOUT:** *NorthRock Partners is a Minneapolis-based wealth management firm that provides tailored financial planning and investment management services to high-net-worth individuals, families, and institutions. The firm offers a comprehensive suite of services, including financial planning, retirement planning, estate planning, and tax strategies, with a focus on helping clients achieve their long-term financial goals. NorthRock operates with a fiduciary commitment, ensuring that all advice is in the best interest of the client. The firm's client-centric approach and deep expertise in personalized financial planning have made it a trusted partner for affluent clients seeking customized wealth management solutions.*

8. Gradient Investments, LLC

AUM: \$5,000,000,000

▶▶ **ABOUT:** *Gradient Investments is an independent wealth management firm headquartered in Arden Hills, Minnesota. The firm provides portfolio management, investment advisory, and financial planning services to individuals and financial advisors. Gradient's unique approach integrates risk management and personalized portfolio construction to deliver customized investment solutions that align with each client's financial goals. The firm partners with independent financial advisors to provide them with proprietary investment strategies and portfolio management tools. Gradient Investments' focus on delivering risk-adjusted returns and personalized service has made it a trusted partner for advisors and their clients.*



9. Focus Financial Network Inc

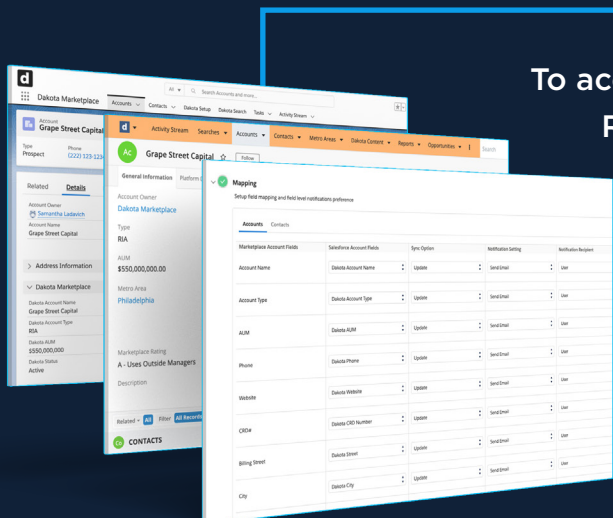
AUM: \$5,000,000,000

▷▷ **ABOUT:** Focus Financial is a leading partnership of independent wealth management firms, providing a platform that offers business support, strategic resources, and operational services to independent financial advisors. Focus Financial's business model empowers advisors to deliver personalized, fiduciary-focused advice while leveraging institutional-level expertise and technology. The firm's ability to attract top-tier advisors and provide them with the tools needed to grow their businesses has made it a key player in the independent wealth management industry.

10. MMA Retirement Services – Upper Midwest Region

AUM: \$4,300,000,000

▷▷ **ABOUT:** Marsh & McLennan Agency (MMA) is a subsidiary of Marsh, a global leader in insurance brokerage and risk management. MMA offers a full range of financial services, including retirement plan consulting, employee benefits, and risk management solutions. With a strong presence in Minneapolis, Minnesota, MMA serves businesses of all sizes, providing customized financial solutions that address both business and employee needs. The firm's commitment to delivering high-quality service and innovative financial strategies has made it a trusted partner for businesses seeking to manage risk and optimize their financial performance. MMA's ability to combine global expertise with local service ensures that clients receive comprehensive, tailored solutions.



To access full account details and explore more RIAs, book a demo of Dakota Marketplace.

Mapping	Send Email	Use
Account Name	Update	Send Email
Account Type	Update	Send Email
AUM	Update	Send Email
Phone	Update	Send Email
Website	Update	Send Email
CRD#	Update	Send Email
Billing Street	Update	Send Email
City	Update	Send Email

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THE TOP 10 RIAS IN Dallas, Texas

*Information as of 9/10/2024



1. Avantax Wealth Management

AUM: \$30,000,000,000

▶ **ABOUT:** *Avantax Wealth Management, headquartered in Dallas, Texas, is a leading provider of tax-focused financial planning and wealth management services. The firm specializes in working with tax professionals to offer comprehensive financial planning, investment management, retirement planning, and insurance services. Avantax emphasizes the integration of tax strategies with financial advice, helping clients maximize their wealth while minimizing tax liabilities. With over \$30 billion in total client assets, Avantax delivers personalized advice through a network of independent financial advisors who serve individuals, families, and small businesses across the U.S.*

2. First Command Financial Planning

AUM: \$25,000,000,000

▶ **ABOUT:** *First Command Financial Services, headquartered in Fort Worth, Texas, provides financial planning, investment management, and insurance services, with a primary focus on military personnel and their families. The firm's mission is to help military members pursue financial security through disciplined financial planning. First Command offers comprehensive solutions, including retirement planning, education funding, and risk management. With over \$25 billion in assets under management, the firm's financial advisors work closely with clients to deliver personalized financial strategies tailored to their unique needs and goals, particularly for those in active service or transitioning to civilian life.*

3. CH Investment Partners

AUM: \$10,100,000,000

▶ **ABOUT:** *Capital House Investments (CHI), based in Addison, Texas, is a boutique investment management and financial advisory firm catering to high-net-worth individuals, families, and institutions. CHI offers a wide range of services, including portfolio management, estate planning, and tax strategies, with a focus on preserving and growing client wealth over the long term. The firm's team of experienced professionals provides personalized financial solutions designed to meet the unique needs of each client. CHI is committed to fiduciary responsibility and client-first service has earned it a strong reputation in the financial industry.*



4. Tolleson Private Wealth Management

AUM: \$8,100,000,000

▶▶ **ABOUT:** *Tolleson Wealth Management, headquartered in Dallas, Texas, is a private, multi-family office that offers comprehensive wealth management and financial advisory services to ultra-high-net-worth individuals and families. The firm manages over \$8 billion in assets and provides a full suite of services, including investment management, estate planning, tax strategies, and family office services. Tolleson Wealth Management's focus on multi-generational wealth preservation and personalized service has made it a trusted partner for affluent families seeking customized financial solutions. The firm's commitment to fiduciary responsibility and deep expertise in managing complex financial affairs sets it apart as a leader in the multi-family office space.*

5. Retirement Advisors of America

AUM: \$5,000,000,000

▶▶ **ABOUT:** *RAA, based in Dallas, Texas, is a wealth management firm that specializes in providing financial planning and investment management services to airline employees and retirees. With over \$5 billion in assets under management, RAA offers personalized retirement planning, portfolio management, and tax optimization strategies. The firm's niche expertise in serving the unique financial needs of airline professionals has made it a trusted partner for those seeking long-term financial security. RAA operates with a fiduciary commitment, ensuring that all advice is aligned with the best interests of its clients.*

6. Beacon Pointe — Dallas

AUM: \$5,000,000,000

▶▶ **ABOUT:** *Beacon Pointe Advisors is a leading independent wealth management firm with a presence in Dallas, Texas, and offices across the U.S. The firm offers comprehensive financial services, including investment management, financial planning, and retirement solutions, with a focus on serving high-net-worth individuals, families, and institutions. Beacon Pointe manages over \$5 billion in assets and emphasizes a collaborative, fiduciary approach to financial planning. The firm's commitment to delivering personalized advice and its focus on sustainable and impact investing have earned it a strong reputation as a trusted advisor in the wealth management industry.*



7. True North Advisors, Inc.

AUM: \$3,300,000,000

▷▷ **ABOUT:** True North Advisors, headquartered in Dallas, Texas, is an independent wealth management firm offering personalized financial planning, investment management, and family office services. The firm serves high-net-worth individuals, families, and institutions, with a focus on delivering customized solutions that align with each client's financial goals. True North Advisors manages over \$3 billion in assets and operates with a fiduciary commitment, ensuring that all advice is tailored to the best interests of its clients. The firm's comprehensive approach to wealth management, combined with its deep expertise in tax and estate planning, makes it a trusted partner for affluent clients seeking long-term financial security.

8. Southern Wealth Management, LLP

AUM: \$3,000,000,000

▷▷ **ABOUT:** Southern Wealth Management, operating under CAPTRUST, provides expert financial and investment advice tailored to business owners, high-net-worth individuals, and families in San Antonio, Texas. The firm's team of financial advisors offers a range of services, including wealth planning, portfolio management, family governance, and philanthropic strategies. With a deep understanding of each client's unique financial goals, Southern Wealth Management leverages the resources of CAPTRUST's nationwide network to deliver personalized financial solutions. The firm is committed to exceeding client expectations by providing insightful market analysis, best practices, and sound financial planning strategies to help clients make informed decisions.

9. Cain Watters & Associates PLLC

AUM: \$2,600,000,000

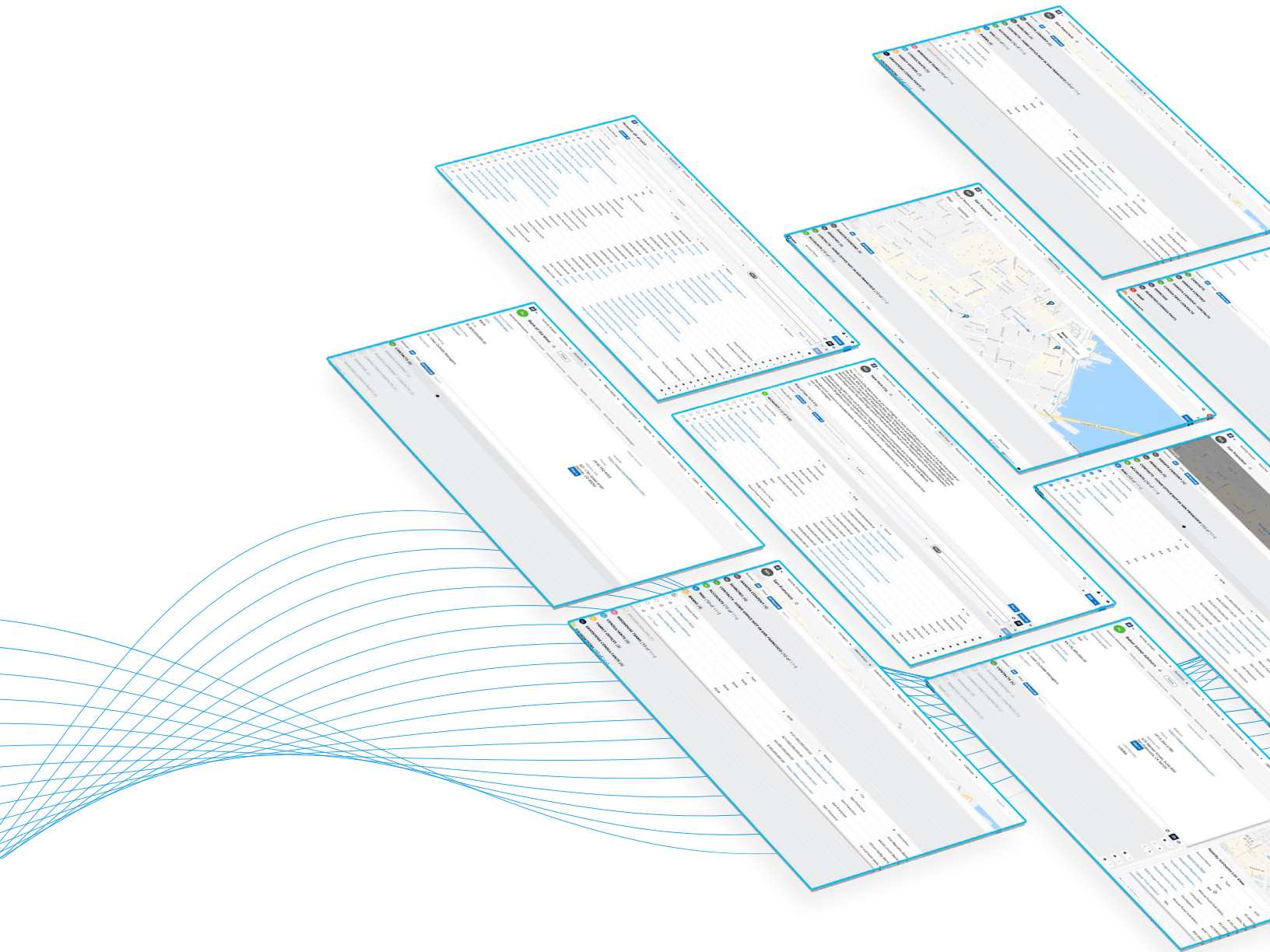
▷▷ **ABOUT:** Cain Watters & Associates, based in Plano, Texas, is a specialized financial advisory firm that provides comprehensive wealth management services to dental professionals. The firm offers personalized financial planning, tax strategies, investment management, and retirement planning solutions tailored to the unique financial needs of dentists and dental practice owners. Cain Watters & Associates manages over \$2 billion in assets and focuses on helping clients achieve long-term financial success through proactive, tax-efficient strategies. The firm's deep expertise in the dental industry, combined with its fiduciary responsibility, makes it a trusted partner for dental professionals seeking customized financial solutions.



10. SFMG Wealth Advisors

AUM: \$1,900,000,000

▶▶ **ABOUT:** *SFMG Wealth Advisors is a Dallas-based wealth management firm that provides personalized financial planning, investment management, and retirement planning services to high-net-worth individuals, families, and business owners. The firm's team of experienced professionals works closely with clients to develop customized financial strategies that address their unique goals. SFMG manages over \$1 billion in assets and operates with a fiduciary commitment, ensuring that all advice is aligned with the best interests of its clients. The firm's focus on delivering holistic, client-focused financial solutions has earned it a strong reputation in the wealth management space.*



ABOUT DAKOTA MARKETPLACE

RIAs

Dakota Marketplace is an institutional and intermediary investor database designed by fundraisers, for fundraisers. Launched in 2019 and serving over 1,000 firms, the platform is updated in real-time, offering complete cross-channel coverage.

With the implementation of the first ever filterable Form ADV Database, Dakota Marketplace offers fast access to Form ADV data, eliminating the need to wade through cumbersome government websites. The platform provides essential insights, including:

- ▶▶ 1. Detailed advisory business descriptions
- ▶▶ 2. AUM breakdown by client type
- ▶▶ 3. Custodian relationships and corresponding business volumes
- ▶▶ 4. Ownership information
- ▶▶ 5. Private fund reporting, and more

One key feature, via the private fund reporting, is the ability to target feeder funds, which offer RIAs a way to pool client capital and access alternative investments without the high entry barriers. For RIAs whose clients want exposure to illiquid alternatives but can't meet individual minimums, feeder funds present an ideal solution. Dakota Marketplace makes it simple to identify RIAs using feeder funds, saving time and increasing your chances of securing meetings with firms that are ready to invest in alternatives.

From our extensive database of over 14,500 RIAs, we've curated a list of 450 RIAs actively creating feeder funds. Targeting these RIAs is even more effective when factoring in custodian relationships – 350 of these RIAs are with Schwab and Fidelity, custodians known for their independence and flexibility in investment decisions. Unlike RIAs tied to broker-dealers like LPL or Wells Fargo, Schwab and Fidelity RIAs face fewer restrictions when allocating to alternatives, making them prime candidates for outreach.



Beyond sales efficiency, feeder funds streamline operations for alternative managers by reducing administrative burdens. Instead of managing multiple small accounts, you deal with a single LP, the feeder fund, leading to a more efficient and smoother investment process.

The value of Dakota Marketplace is clear: it not only gives you access to over 14,500 RIAs, but also enables you to focus on the most strategic opportunities – those actively using feeder funds and supported by accurate, real-time Form ADV data – making your sales efforts more efficient and targeted.

dakota marketplace

PRICING: \$15,500/year

Book a demo of Dakota Marketplace for RIAs today!

\$1,000 for each additional user login.

